# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: National Phase Entry of PCT/EP2004/002810

**Applicant** Filed

: Dieter HERRMANN et al : September 19, 2005

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### PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Please enter the following amendments before calculation of the filing fee and examination of the merits.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

Remarks begin on page 10 of this paper.

## Amendments to the Specification:

Page 1, before line 1, insert:

## **Cross Reference to Related Application**

This application is a 35 USC § 371 National Phase Entry Application from PCT/EP2004/002810, filed March 18, 2004, and designating the United States, which claims the benefit of provisional application no. 60/456,003 filed March 19, 2003.

### **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### **Listing of Claims:**

### 1. (Original) A nucleotide derivative of formula 1

#### wherein

 $R^1$  is selected from the group consisting of a straight-chain or branched, saturated or unsaturated alkyl chain having 1-20 carbon atoms, which is unsubstituted or substituted at least once by halogen,  $C_1$ - $C_6$  alkoxy,  $C_1$ - $C_6$  alkylmercapto,  $C_1$ - $C_6$  alkoxycarbonyl,  $C_1$ - $C_6$  alkylsulfinyl or  $C_1$ - $C_6$  alkylsulfonyl groups;

 $R^2$  is selected from the group consisting of hydrogen, a straight-chain or branched, saturated or unsaturated alkyl chain having 1-20 carbon atoms, which is unsubstituted or substituted at least once by halogen,  $C_1$ - $C_6$  alkoxy,  $C_1$ - $C_6$  alkoxycarbonyl or  $C_1$ - $C_6$  alkylsulfonyl groups;

 $R^3$  is amino or  $OR^4$ , wherein  $R^4$  is  $C_1$ - $C_8$  alkyl;

X is selected from the group consisting of a sulfur atom, a sulfinyl group and a sulfonyl group;

### Y is oxygen;

whereby when R³ is amino, said amino group may be unsubstituted or substituted by a known amino protecting group, their tautomers, their optically active forms and racemic mixtures, and their physiologically acceptable salts of inorganic and organic acids or bases.

- 2. (Original) The nucleotide derivative according to claim 1, wherein  $R^1$  is a straight-chain  $C_8$ -C15 alkyl group, which is unsubstituted or substituted by a  $C_1$ - $C_6$  alkoxy or a  $C_1$ - $C_6$  alkylmercapto group.
- (Original) The nucleotide derivative according to claim 1, wherein R<sup>2</sup> represents a straight-chain C8-C<sub>15</sub> alkyl group, which is unsubstituted or substituted by a C<sub>1</sub>-C<sub>6</sub> alkoxy or a C<sub>1</sub>-C<sub>6</sub> alkylmercapto group.
- 4. (Currently Amended) The nucleotide derivative according to claims I-to  $3\ \underline{1}$ , wherein  $\mathbb{R}^3$  is OCH<sub>3</sub>.
- 5. (Currently Amended) The nucleotide derivative according the claims 1-4 to claim 1, wherein the compound is:

wherein X is sulfur, sulfinyl or sulfonyl.

- 6. (Currently Amended) The nucleotide derivative according to claims 1 to 3  $\underline{1}$ , wherein  $\mathbb{R}^3$  is NH<sub>2</sub>.
- (Currently Amended) The nucleotide derivative according to claims 1 to 3 or 6
  wherein the compound is:

wherein X is sulfur, sulfinyl or sulfonyl.

- 8. (Currently Amended) A pharmaceutical composition comprising at least one compound according to claims I—7 1 in combination with a pharmaceutically acceptable adjuvant or vehicle.
- (Currently Amended) A method for treating malignant tumors comprising administering to a patient in need of such treatment an amount of a compound according to claims 1 — 7 1 effective to treat said tumors.
- 10. (Original) The method according to claim 9, wherein said tumor is selected from the group consisting of carcinomas, sarcomas or leukemias.
- 11. (Original) A method for treating malignant tumors comprising administering to a patient in need of such treatment an amount of the composition according to claim 8 effective to treat said tumors in fixed or free combination with other anticancer agents.

# 12. (Original) A method of synthesis of compounds of the formula la:

wherein R  $^1$  is is a straight-chain o r b ranched, saturated o r u nsaturated alkyl residue having 1-20 carbon atoms, optionally mono- or polysubstituted by halogen,  $C_1$ - $C_6$  alkoxy,  $C_1$ - $C_6$  alkylsulfonyl groups;

 $R^2$  is hydrogen, a straight-chain or branched, saturated or unsaturated alkyl chain having 1-20 carbon atoms, optionally mono- or polysubstituted by halogen, C <sub>1</sub>-C<sub>6</sub> a ikoxy, C 1-C6 alkylmercapto, C <sub>1</sub>-C<sub>6</sub> a lkoxycarbonyl o r C <sub>1</sub>-C<sub>6</sub> alkylsulfonyl groups;

X is selected from the group consisting of a sulfur atom, a sulfinyl group and a sulfonyl group;

Y is oxygen;

comprising:

(a) reacting 2,6-dichioroadenine with an arabinofuranosyl derivative of the formula:

wherein  $R^5$  is bromo or chioro and  $R^6$  and  $R^7$  are protecting groups, in the presence of a hindered potassium base and a solvent to form the dichioropurine nucleoside derivative:

(b) subjecting said dichloro purine nucleoside derivative to conditions to provide for deprotection and an aromatic nucleophilic substitution reaction to provide the 6-alkoxy-2-chloro purine nucleoside derivative of general formula IIIb:

wherein R4 is C1-C8 alkyl;

(c) reacting said 6-alkoxy-2-chioro purine nucleoside derivative with an activated form of the compound:

in an inert solvent to provide the conjugated 6-alkoxy-2-chloro purine nucleotide derivative of general formula lb:

(d) subjecting said conjugated 6-alkoxy-2-chloro purine nucleotide derivative to conditions that provide for aminolysis to prepare the conjugated 2-chioroadenine derivative:

- 13. (Original) The method of claim 12 wherein, said hindered potassium base is potassium t-butoxide or potassium f-amylate.
- 14. (Original) The method of claim 12, wherein said solvent for reacting said 2,6-dichloroadenine and said arabinofuranosyl derivative is a mixture of acetonitrile, f-butanol and 1,2-dichloroethane.
- 15. (Original) The method of claim 12, wherein R<sup>4</sup> is methyl.

- 16. (Original) The method of claim 12, wherein R<sup>5</sup> is bromo.
- 17. (Original) The method of claim 12, wherein R<sup>6</sup> and R<sup>7</sup> are independently acetyl or benzoyl.
- 18. (Original) The method of claim 12, wherein  $R^1$  and  $R^2$  are individually a straight-chain  $C_8$ - $C_{15}$  alkyl group, which is unsubstituted or substituted by a  $C_1$ - $C_6$  alkoxy or a  $C_1$ - $C_6$  alkylmercapto group.
- 19. (Original) The method of claim 12, wherein  $R^1$  is  $C_{12}H_{25}$  and  $R^2$  is  $C_{10}H_{21}$ .

#### REMARKS

The above amendments to the specification and claims have been made to put the application in better condition for examination. No new matter has been added.

Respectfully submitted,

Ву

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